

IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL UNDER 37 CFR §1.53(b)

To: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231, USA

Docket No.: 13608ROUS02U  
Inventor(s): Donald R. HORNE

11/30/01  
09/996671  
11/30/01

The following are enclosed for filing this nonprovisional application relating to:

**MANAGEMENT OF LOG ARCHIVAL AND REPORTING FOR DATA NETWORK  
SECURITY SYSTEMS**

☐ CONTINUING APPLICATION. This is a ☐ Continuation ☐ Divisional ☐ Continuation-in-part  
of prior Application No. \_\_\_\_\_

☐ A Certified Copy of the application(s) from which this application claims priority under  
35 U.S.C. §119 has been filed in the prior application identified above.

☐ Copy of assignment(s) to Nortel Networks, recorded with respect to the prior application  
identified above.

☒ Specification, including Claims and Abstract

Pages: 101

☒ Drawings

Sheets: 8

☒ Oath or Declaration

Pages: 1

☒ New

or ☐ Copy from the prior application identified above (for cont./div., 37 CFR §1.63(d))

☐ Signed statement attached deleting inventor(s) named in the prior application

☐ The entire disclosure of the prior application is considered as being part of the disclosure  
of the accompanying application and is hereby incorporated therein by reference.

☐ Certified Copy of Priority Document (if foreign priority is claimed)

☐ Assignment Papers (cover sheet(s) and document(s)). Please record and return to the undersigned.

☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

☐ Preliminary Amendment. Fees are calculated below after entry of any preliminary amendment.

☒ Return Receipt Postcard

☐ Other:

**FEES:** Basic Fee:

\$740.00

Assignment(s):

\_\_\_\_\_ x \$40.00 =

☐ Multiple Dependent Claims

Total Claims:

24 - 20 = 4 x \$18.00 = \$72.00

Independent Claims:

9 - 3 = 6 x \$84.00 = \$504.00

**TOTAL FEE: \$1316.00**

The Assistant Commissioner is hereby authorized to charge the following fees, and to charge any additional  
fees which may be required or credit any overpayment, to **Deposit Account No. 14-1315:**

☒ Fees required under 37 CFR §1.116 including the Total Fee calculated above.

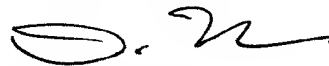
☐ Fees required under 37 CFR §1.117 (Patent Application Processing Fees).

**CORRESPONDENCE ADDRESS:**

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Date: November 29, 2001

Yours very truly

Donald R. HORNE



By

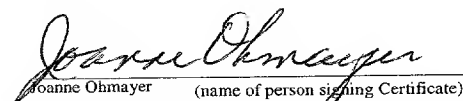
Dennis R. Haszko,  
Patent Agent  
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**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with Fedex Overnight Courier Service,  
Reference Waybill No. 601101364608, in an envelope addressed to

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ARLINGTON, Virginia 22202, U.S.A.

on: November 29, 2001

  
Joanne Ohmayer (name of person signing Certificate)